UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Form 144 Filer Information

Form 144

**FORM 144** 

NOTICE OF PROPOSED SALE OF SECURITIES

PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

### 144: Filer Information

Filer CIK 0001890326
Filer CCC XXXXXXXX
Is this a LIVE or TEST Filing? • LIVE • TEST

**Submission Contact Information** 

Name Phone

E-Mail Address

### 144: Issuer Information

Name of Issuer AURA BIOSCIENCES INC

SEC File Number 001-40971

80 GUEST STREET

Address of Issuer BOSTON

**MASSACHUSETTS** 

01235

Phone (617)500-8864

Name of Person for Whose Account the Securities are To Be Sold CADMUS C RICH & SHANTEL C RICH

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

Relationship to Issuer Officer

## 144: Securities Information

Title of the Class of Securities To Be Sold	Name and Address of the Broker	Number of Shares or Other Units To Be Sold	Aggregate Market Value	Number of Shares or Other Units Outstanding	Approximate Date of Sale	Securities
COMMON	Morgan Stanley Smith Barney LLC Executive Financial Services 1 New York Plaza 38th Floor New York NY 10004	15974	139453.02	38259879	02/23/2024	NASDAQ

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

## 144: Securities To Be Sold

Title of the Date you Nature of Name of Is Date Amount of Date of Nature of

Class	Acquired	Acquisition Transaction	Person from Whom Acquired	Donor Acquired	Securities Acquired	Payment	Payment *
Common	02/23/2024	Exercise of Stock Options	Issuer		12763	02/23/2024 Ca	ash
Common	01/19/2024	Restricted Stock Units	Issuer		3211	01/19/2024 N	'A

<sup>\*</sup> If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

# 144: Securities Sold During The Past 3 Months

	Name and Address of Seller	Title of Securities Sold	Date of Sale	Amount of Securities Sold	<b>Gross Proceeds</b>
&: 80	b5-1 Sales for CADMUS C RICH SHANTEL C RICH GUEST STREET OSTON MA 01235	COMMON	02/16/2024	6061	54641.09
&: 80	b5-1 Sales for CADMUS C RICH SHANTEL C RICH GUEST STREET OSTON MA 01235	COMMON	02/12/2024	705	6357.96
&: 80 B(	b5-1 Sales for CADMUS C RICH SHANTEL C RICH GUEST STREET OSTON MA 01235	COMMON	01/09/2024	400	3658.56
&: 80	b5-1 Sales for CADMUS C RICH SHANTEL C RICH GUEST STREET OSTON MA 01235	COMMON	01/08/2024	900	8102.97
&: 80	b5-1 Sales for CADMUS C RICH SHANTEL C RICH GUEST STREET OSTON MA 01235	COMMON	01/03/2024	2011	18130.57
&: 80	b5-1 Sales for CADMUS C RICH SHANTEL C RICH GUEST STREET OSTON MA 01235	COMMON	01/02/2024	17102	154650.88
&: 80	b5-1 Sales for CADMUS C RICH SHANTEL C RICH GUEST STREET OSTON MA 01235	COMMON	12/29/2023	1425	12825.00
&: 80	b5-1 Sales for CADMUS C RICH SHANTEL C RICH GUEST STREET OSTON MA 01235	COMMON	12/28/2023	18843	170506.54
&: 80	b5-1 Sales for CADMUS C RICH SHANTEL C RICH GUEST STREET OSTON MA 01235	COMMON	12/27/2023	20798	188972.71
&: 80	bb5-1 Sales for CADMUS C RICH SHANTEL C RICH OGUEST STREET OSTON MA 01235	COMMON	12/26/2023	15237	137283.85

10b5-1 Sales for CADMUS C RICH &SHANTEL C RICH 80 GUEST STREET BOSTON MA 01235	COMMON	12/19/2023 2590	23318.81
10b5-1 Sales for CADMUS C RICH &SHANTEL C RICH 80 GUEST STREET BOSTON MA 01235	COMMON	12/18/2023 2400	21601.92
10b5-1 Sales for CADMUS C RICH &SHANTEL C RICH 80 GUEST STREET BOSTON MA 01235	COMMON	12/15/2023 19381	174725.53

# 144: Remarks and Signature

Remarks

Date of Notice 02/23/2024
Date of Plan Adoption or Giving of Instruction, If Relying on Rule 10b5-1 11/14/2023

**ATTENTION:** 

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

Signature /s/ Cadmus C. Rich

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)