FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | D C | 20540 |
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| wasiiiigton, | D.C. | 20040 |

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL | | | | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|--|--|
| OMB Number: | 3235-0287 | | | | | | | | |
| Estimated average burden | | | | | | | | | |
| hours per response | : 0.5 | | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

| | | | | | | | 55(11) | | | 501 | ilpaily Act | 0010 | | | | | | | |
|--|---|--|---|---------|--|---|----------|--------------|---------------------------------|-----|---|-----------------------|-------------|---|---|---------------------------------|--|--|-------------|
| 1. Name and Address of Reporting Person* <u>Hopkins Janet Jill</u> | | | | | 2. Issuer Name and Ticker or Trading Symbol Aura Biosciences, Inc. [AURA] | | | | | | | | | elationship o eck all applic Directo | cable) | g Pers | son(s) to Issu 10% Ow | o Issuer % Owner | |
| (Last) | ` | irst) IENCES, INC. | (Middle) | | | 3. Date of Earliest Transaction (Month/Day/Year) 02/01/2024 | | | | | | | | _ ; | below) | (give title Chief Med | dical (| Other (s below) Officer | pecify |
| 80 GUEST STREET | | | | 4. 1 | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | | Individual or Joint/Group Filing (Check Applicable ne) | | | | | |
| (Street) BOSTON MA 01235 | | | | | | | | | | | | | | Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | |
| (City) | (S | tate) | (Zip) | | R | Rule 10b5-1(c) Transaction Indication | | | | | | | | | | | | | |
| | | | | | Check this box to indicate that a transaction was made pursuant to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Institute of the conditions of the condit | | | | | | | | | | | | | | |
| | | Tab | ole I - No | n-Deriv | vativ | e Se | curities | Acc | quired, | Dis | posed o | f, or E | 3ene | eficiall | y Owned | | | | |
| 1. Title of Security (Instr. 3) 2. Trans Date (Month/k | | | | | 2A. Deemed Execution Dat if any (Month/Day/Ye | | Date, | Code (Instr. | | | | | | es ally Following | Form: Direct (D) or Indirect (I) (Instr. 4) | | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | | | | | | Code | v | Amount | (A (D | () or () | Price | Reported Transact (Instr. 3 a | tion(s) | | | (111511. 4) |
| Common Stock 02/0 | | | | 02/0 | 1/202 | 1/2024 | | | Α | | 28,515 | 5 ⁽¹⁾ A \$ | | \$0.00 | 163,515 | | | D | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date if any (Month/Day/Ye | Date, | 4. Transactio Code (Instr 8) | | | | 6. Date Expiration (Month/Da |) | 7. Title and Am of Securities Underlying Derivative Secu (Instr. 3 and 4) | | ecurity | 8. Price of Derivative Security (Instr. 5) | | Ow For Illy Dir or (I) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | | Code | v | (A) | | Date Exercisab | | Expiration Date | Title | O N O | lumber | | | | | |
| Stock Option (Right to | \$7.77 | 02/01/2024 | | | A | | 41,485 | | (2) | | 01/31/2034 | Comm Stock | | 1,485 | \$0 | 41,48 | 5 | D | |

Explanation of Responses:

- 1. These shares were acquired pursuant to a restricted stock unit ("RSU") award under the Issuer's 2021 Stock Option and Incentive Plan. Each RSU represents the right to receive one share of the Issuer's Common Stock. These RSUs vest in four substantially equal annual installments beginning on February 15, 2025, subject to the Reporting Person's continued service as of each such vesting date.
- 2. The shares underlying this option vest as follows: 25% of the shares vest on February 1, 2025 with the remainder vesting thereafter pro-rata in 36 monthly installments, subject to the Reporting Person's continued service as of each such vesting date.

/s/ Julie Feder, as Attorney-in-

02/02/2024

Fact

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.