FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>Rich Cadmus</u> | 2. Date of Requiring (Month/Da 10/28/20 | Statement ay/Year) | 3. Issuer Name and Ticker or Trading Symbol Aura Biosciences, Inc. [AURA] | | | | |
|--|---|---|--|---|---|--|--|
| (Last) (First) (Middle) C/O AURA BIOSCIENCES, INC. | | | 4. Relationship of Reporting Issuer (Check all applicable) | | Fil | 5. If Amendment, Date of Original Filed (Month/Day/Year) | |
| 85 BOLTON STREET | _ | | Director Officer (give title below) | | specify (C | 6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting | |
| (Street) CAMBRIDGE MA 02140 | _ | | See Rema | rks | | Person Form filed by More than One Reporting Person | |
| (City) (State) (Zip) | | | | | | | |
| | Table I - No | n-Derivati | ive Securities Benefic | ially Ov | vned | | |
| , , | | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Owne Form: D (D) or In (I) (Instr | pirect Ownership (Instr. 5) | | | |
| Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | |
| (e. | | | | | | | |
| (e. 1. Title of Derivative Security (Instr. 4) | | lls, warra | | ble secu | 4. Conversion or Exercise | Form: | 6. Nature of Indirect Beneficial Ownership (Instr. |
| , | 2. Date Exerc Expiration Da | lls, warra | nts, options, converti 3. Title and Amount of Sec Underlying Derivative Sec (Instr. 4) | ble secu | 4. Conversion | Ownership | Indirect Beneficial |
| , | g., puts, ca 2. Date Exerc Expiration Da (Month/Day/) | cisable and ate (ear) | nts, options, converti 3. Title and Amount of Sec Underlying Derivative Sec (Instr. 4) | curities urity Amount or Number | 4. Conversion or Exercise Price of Derivative | Ownership Form: Direct (D) or Indirect | Indirect Beneficial Ownership (Instr. |
| Title of Derivative Security (Instr. 4) | g., puts, ca 2. Date Exerc Expiration Da (Month/Day/N | cisable and ate //ear) Expiration Date | nts, options, converti 3. Title and Amount of Sec Underlying Derivative Sec (Instr. 4) Title | curities urity Amount or Number of Shares | 4. Conversion or Exercise Price of Derivative Security | Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | Indirect Beneficial Ownership (Instr. |
| 1. Title of Derivative Security (Instr. 4) Stock Option (Right to Buy) | g., puts, ca 2. Date Exerc Expiration Da (Month/Day/N | Expiration Date | 3. Title and Amount of Sec Underlying Derivative Sec (Instr. 4) Title Common Stock | Amount or Number of Shares | 4. Conversion or Exercise Price of Derivative Security | Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | Indirect Beneficial Ownership (Instr. |
| 1. Title of Derivative Security (Instr. 4) Stock Option (Right to Buy) Stock Option (Right to Buy) | g., puts, ca 2. Date Exerc Expiration Da (Month/Day/N Date Exercisable | Expiration Date 10/11/2027 02/21/2028 | 3. Title and Amount of Sec Underlying Derivative Sec (Instr. 4) Title Common Stock Common Stock | Amount or Number of Shares 29,197 80,291 | 4. Conversion or Exercise Price of Derivative Security 5.21 2.74 | Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | Indirect Beneficial Ownership (Instr. |

Explanation of Responses:

- 1. The shares underlying this option are fully vested as of October 23, 2021.
- 2. The shares underlying this option vest as follows: 25% of the shares vest on the first anniversary of February 21, 2018 with the remainder vesting thereafter pro-rata in 36 monthly installments.
- 3. The shares underlying this option vest in 48 monthly installments, equal to 2.0833% of the shares, over the 48 months following February 6, 2019
- 4. The shares underlying this option vest in 48 monthly installments, equal to 2.0833% of the shares, over the 48 months following February 6, 2020
- 5. The shares underlying this option vest in 48 monthly installments, equal to 2.0833% of the shares, over the 48 months following June 28, 2021

Remarks:

Chief Medical Officer Head of R&D

<u>/s/ Julie Feder</u> <u>10/28/2021</u>

** Signature of Reporting

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).
- $^{**} \ Intentional \ misstatements \ or \ omissions \ of facts \ constitute \ Federal \ Criminal \ Violations \ See \ 18 \ U.S.C. \ 1001 \ and \ 15 \ U.S.C. \ 78 \ ff(a).$

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY

The undersigned hereby constitutes and appoints each of Julie Feder and Christopher Primiano, signing singly, and with full power of substitution, the undersigned's true and lawful attorney-in-fact to:

- (1) execute for and on behalf of the undersigned, in the undersigned's capacity as officer and/or director of Aura Biosciences, Inc., a Delaware corporation (the "Company"), from time to time the following U.S. Securities and Exchange Commission (the "SEC") forms: (i) Form ID, including any attached documents (such as Update Passphrase Authentication), to effect the assignment of codes to the undersigned to be used in the transmission of information to the SEC using the EDGAR System; (ii) Form 3, Initial Statement of Beneficial Ownership of Securities, including any attached documents; (iii) Form 4, Statement of Changes in Beneficial Ownership of Securities, including any attached documents; (iv) Form 5, Annual Statement of Beneficial Ownership of Securities in accordance with Section 16(a) of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), and the rules thereunder, including any attached documents; and (v) amendments of each thereof, in accordance with the Exchange Act, and the rules thereunder, including any attached documents;
- (2) do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4 or 5 or any amendment(s) thereto and timely file such form(s) with the SEC and any securities exchange, national association or similar authority; and
- (3) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to each such attorney-in-fact, acting singly, full power and authority to do and perform any and every act and thing whatsoever requisite, necessary or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Exchange Act. The undersigned hereby agrees to indemnify the attorneys-in-fact and the Company from and against any demand, damage, loss, cost or expense arising from any false or misleading information provided by the undersigned to the attorneys-infact.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file such forms with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact. This Power of Attorney supersedes any prior power of attorney in connection with the undersigned's capacity as an officer and/or director of the Company. This Power of Attorney shall expire as to any individual attorney-in-fact if such attorney-in-fact ceases to be an employee of the Company.

[Signature Page Follows]

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of 19th day of October, 2021.

/s/ Cadmus Rich ------Signature Name: Cadmus Rich